

April 2021

S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
1,098	100.00	618	56.28	171

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
National Financial Services LLC(XSTM)	558	34.81	0	0.00
Citadel Securities LLC(CDRG)	326	20.34	302	54.51
Dash Financial Technologies LLC(DASH)	300	18.71	0	0.00
VIRTU Americas, LLC(NITE)	194	12.10	155	27.98
JANE STREET CAPITAL, LLC(JNST)	77	4.80	70	12.64
NASDAQ Execution Services, LLC(NSDQ)	70	4.37	0	0.00
G1 Execution Services, LLC(ETMM)	32	2.00	27	4.87

Cboe EDGX US**Equities**

Exchange(EDGX)	24	1.50	0	0.00
Instinet				
BlockCross(BLKX)	22	1.37	0	0.00

April 2021**Non-S&P 500 Stocks****Summary**

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
4,391	100.00	2,890	65.82	518

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
National Financial Services LLC(XSTM)	2,985	40.88	0	0.00
Citadel Securities LLC(CDRG)	1,614	22.10	1,410	53.15
VIRTU Americas, LLC(NITE)	854	11.70	725	27.33
Dash Financial Technologies LLC(DASH)	552	7.56	0	0.00
JANE STREET CAPITAL, LLC(JNST)	488	6.68	425	16.02
NASDAQ Execution Services, LLC(NSDQ)	357	4.89	0	0.00
Cboe EDGX US Equities Exchange(EDGX)	177	2.42	0	0.00
Instinet				
BlockCross(BLKX)	166	2.27	0	0.00

Two Sigma Securities, LLC(SOHO)	109	1.49	93	3.51
--	-----	------	----	------

April 2021

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
977	100.00	0	0.00	224

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Dash Financial Technologies LLC(DASH)	640	65.51	0	0.00
Citadel Securities LLC(CDRG)	327	33.47	0	0.00
Citigroup Global Markets, Inc.(KEXP)	4	0.41	0	0.00
Interactive Brokers LLC(IBKR)	3	0.31	0	0.00
Susquehanna Capital Group(SUSQ)	2	0.20	0	0.00
Morgan Stanley & Company LLC(MSCO)	1	0.10	0	0.00

May 2021

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
1,008	100.00	551	54.66	168

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
National Financial Services LLC(XSTM)	489	33.61	0	0.00
Dash Financial Technologies LLC(DASH)	309	21.24	0	0.00
Citadel Securities LLC(CDRG)	291	20.00	264	55.11
VIRTU Americas, LLC(NITE)	165	11.34	144	30.06
JANE STREET CAPITAL, LLC(JNST)	78	5.36	71	14.82
NASDAQ Execution Services, LLC(NSDQ)	53	3.64	0	0.00
Instinet BlockCross(BLKX)	29	1.99	0	0.00
Cboe EDGX US Equities Exchange(EDGX)	23	1.58	0	0.00
NYSE Arca(ARCA)	18	1.24	0	0.00

May 2021

Non-S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
---------------------------	--	---------------------	---	-------------------------------

3,543	100.00	2,043	57.66	517
-------	--------	-------	-------	-----

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
National Financial Services LLC(XSTM)	2,151	37.60	0	0.00
Citadel Securities LLC(CDRG)	1,152	20.14	1,008	54.72
VIRTU Americas, LLC(NITE)	652	11.40	557	30.24
Dash Financial Technologies LLC(DASH)	586	10.24	0	0.00
NASDAQ Execution Services, LLC(NSDQ)	378	6.61	0	0.00
JANE STREET CAPITAL, LLC(JNST)	327	5.72	277	15.04
Instinet BlockCross(BLKX)	202	3.53	0	0.00
Cboe EDGX US Equities Exchange(EDGX)	182	3.18	0	0.00
NYSE Arca(ARCA)	91	1.59	0	0.00

May 2021

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
799	100.00	0	0.00	166

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Dash Financial Technologies LLC(DASH)	479	59.95	0	0.00
Citadel Securities LLC(CDRG)	319	39.92	0	0.00
Susquehanna Capital Group(SUSQ)	1	0.13	0	0.00

June 2021

S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
1,553	100.00	531	34.19	338

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Dash Financial Technologies LLC(DASH)	861	42.88	0	0.00
National Financial Services LLC(XSTM)	476	23.71	0	0.00
Citadel Securities LLC(CDRG)	281	13.99	257	55.75
VIRTU Americas, LLC(NITE)	149	7.42	141	30.59
NASDAQ Execution Services, LLC(NSDQ)	72	3.59	0	0.00

JANE STREET CAPITAL, LLC(JNST)	69	3.44	63	13.67
Instinet BlockCross(BLKX)	48	2.39	0	0.00
Cboe EDGX US Equities Exchange(EDGX)	28	1.39	0	0.00
NYSE Arca(ARCA)	24	1.20	0	0.00

June 2021

**Non-S&P 500 Stocks
Summary**

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
4,968	100.00	2,529	50.91	798

Venues

Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
National Financial Services LLC(XSTM)	2,670	34.78	0	0.00
Dash Financial Technologies LLC(DASH)	1,436	18.71	0	0.00
Citadel Securities LLC(CDRG)	1,435	18.69	1,256	53.20
VIRTU Americas, LLC(NITE)	799	10.41	681	28.84
JANE STREET CAPITAL, LLC(JNST)	388	5.05	334	14.15
NASDAQ Execution Services, LLC(NSDQ)	376	4.90	0	0.00

Cboe EDGX US**Equities**

Exchange(EDGX)	240	3.13	0	0.00
Instinet				
BlockCross(BLKX)	227	2.96	0	0.00
Two Sigma				
Securities,				
LLC(SOHO)	106	1.38	90	3.81

June 2021**Option Contracts
Summary**

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders
241	100.00	0	0.00	1

Venues

Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)
Citadel Securities LLC(CDRG)	239	99.17	0	0.00
Interactive Brokers LLC(IBKR)	2	0.83	0	0.00

**AXIOM CAPITAL MANAGEMENT, INC. - Held
NMS Stocks and Options Order Routing Public
Report
Generated on Fri Jul 09 2021 08:47:15 GMT-4
EDT**

2nd Quarter, 2021

Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders
15.57	305	27.78

Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders
0	0.00	0
9	5.70	12
110	69.62	190
27	17.09	12
3	1.90	4
8	5.06	62
1	0.63	3

0	0.00	24
0	0.00	0

Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders
11.80	

Non-Marketable Limit Orders as % of Non-Directed Orders
977
22.25

Marketable Limit Orders	Marketable Limit Orders(%)
------------------------------------	-----------------------------------

Non-Marketable Limit Orders
--

0	0.00	0
125	26.15	73
73	15.27	56
211	44.14	341
39	8.16	24
22	4.60	335
0	0.00	177
0	0.00	0

8

1.67

8

**Marketable Limit
Orders as % of Non-
Directed Orders**

22.93

Total Non-Marketable Limit Orders

**Non-Marketable
Limit Orders as % of
Non-Directed Orders**

426

43.60

**Marketable Limit
Orders**

Marketable Limit Orders(%)

**Non-Marketable
Limit Orders**

222

99.11

418

0

0.00

0

1

0.45

3

1

0.45

2

0

0.00

2

0

0.00

1

Marketable Limit Orders as % of Non-Directed Orders **Total Non-Marketable Limit Orders**
 16.67

Non-Marketable Limit Orders as % of Non-Directed Orders
 280 27.78

Marketable Limit Orders **Marketable Limit Orders(%)**

Non-Marketable Limit Orders

0	0.00	0
111	68.94	198
16	9.94	7
13	8.07	4
5	3.11	2
5	3.11	48
0	0.00	0
0	0.00	23
11	6.83	7

Marketable Limit Orders as % of Non-Directed Orders **Total Non-Marketable Limit Orders**

Non-Marketable Limit Orders as % of Non-Directed Orders

14.59

958

27.04

**Marketable Limit
Orders**

Marketable Limit Orders(%)

**Non-Marketable
Limit Orders**

0

0.00

0

95

19.35

36

59

12.02

24

192

39.10

394

46

9.37

332

35

7.13

15

0

0.00

0

0

0.00

182

64

13.03

27

**Marketable Limit
Orders as % of Non-
Directed Orders**

20.78

Total Non-Marketable Limit Orders

**Non-Marketable
Limit Orders as % of
Non-Directed Orders**

315

39.42

Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	
	166	100.00	313
	0	0.00	1
	0	0.00	1

Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	
21.76		674	43.40

Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	
	294	87.24	567
	0	0.00	0
	13	3.86	4
	6	1.78	2
	1	0.30	71

3	0.89	3
0	0.00	0
0	0.00	28
20	5.93	4

Marketable Limit Orders as % of Non-Directed Orders
16.06

Total Non-Marketable Limit Orders

Non-Marketable Limit Orders as % of Non-Directed Orders
1,615 32.51

Marketable Limit Orders

Marketable Limit Orders(%)

Non-Marketable Limit Orders

0	0.00	0
503	67.97	933
121	16.35	39
64	8.65	51
37	5.00	17
6	0.81	370

1	0.14	239
0	0.00	0
8	1.08	8

Marketable Limit Orders as % of Non-Directed Orders **Total Non-Marketable Limit Orders**
0.41

Non-Marketable Limit Orders as % of Non-Directed Orders
1 0.41

Marketable Limit Orders **Marketable Limit Orders(%)**
0
1

Non-Marketable Limit Orders
0.00 0
100.00 1

Total Other Orders	Other Orders as % of Non-Directed Orders
4	0.36

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
0.00	558	95.55	0	0
3.91	3	0.51	0	0
61.89	0	0.00	0	0
3.91	0	0.00	0	0
1.30	0	0.00	0	0
20.20	0	0.00	0	0
0.98	1	0.17	0	0

7.82	0	0.00	0	0
0.00	22	3.77	0	0

**Other Orders as % of
Total Other Orders Non-Directed Orders**
6 0.14

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
0.00	2,985	94.55	0	0
7.20	6	0.19	0	0
5.52	0	0.00	0	0
33.63	0	0.00	0	0
2.37	0	0.00	0	0
33.04	0	0.00	0	0
17.46	0	0.00	0	0
0.00	166	5.26	0	0

0.79	0	0.00	0	0
------	---	------	---	---

	Other Orders as % of
Total Other Orders	Non-Directed Orders
327	33.47

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
97.44	0	0.00	0	0
0.00	327	100.00	0	0
0.70	0	0.00	0	0
0.47	0	0.00	0	0
0.47	0	0.00	0	0
0.23	0	0.00	0	0

Total Other Orders	Other Orders as % of Non-Directed Orders
9	0.89

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
0.00	489	92.97	0	0
68.51	0	0.00	0	0
2.42	4	0.76	0	0
1.38	4	0.76	0	0
0.69	0	0.00	0	0
16.61	0	0.00	0	0
0.00	29	5.51	0	0
7.96	0	0.00	0	0
2.42	0	0.00	0	0

Total Other Orders	Other Orders as % of Non-Directed Orders
---------------------------	---

25 0.71

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
0.00	2,151	90.45	0	0
3.56	13	0.55	0	0
2.38	12	0.50	0	0
39.01	0	0.00	0	0
32.87	0	0.00	0	0
1.49	0	0.00	0	0
0.00	202	8.49	0	0
18.02	0	0.00	0	0
2.67	0	0.00	0	0

**Other Orders as % of
Total Other Orders Non-Directed Orders**
318 39.80

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
99.37	0	0.00	0	0
0.32	318	100.00	0	0
0.32	0	0.00	0	0

Total Other Orders	Other Orders as % of Non-Directed Orders
10	0.64

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
83.51	0	0.00	0	0
0.00	476	89.64	0	0
0.59	7	1.32	0	0
0.29	0	0.00	0	0
10.46	0	0.00	0	0

0.44	0	0.00	0	0
0.00	48	9.04	0	0
4.12	0	0.00	0	0
0.59	0	0.00	0	0

	Other Orders as % of	
Total Other Orders	Non-Directed Orders	
26	0.52	

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
0.00	2,670	91.47	0	0
56.31	0	0.00	0	0
2.35	19	0.65	0	0
3.08	3	0.10	0	0
1.03	0	0.00	0	0
22.33	0	0.00	0	0

14.42	0	0.00	0	0
0.00	227	7.78	0	0
0.48	0	0.00	0	0

	Other Orders as % of
Total Other Orders	Non-Directed Orders
239	99.17

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)
0.00	239	100.00	0	0
100.00	0	0.00	0	0

0 0 0 0 0

Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
---	--	---	--	--

0 0 0 0 0

0 0 0 0 0

0 0 0 0 0

0 0 0 0 0

0 0 0 0 0

0 0 0 0 0

Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
---	--	---	--	--

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0

Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0

0	0	0	0	0
0	0	0	0	0
0	0	0	0	0

Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
0	0	0	0	0
0	0	0	0	0

**Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)**

0

0

0

0

0

0

0

0

0

**Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)**

0

0

0

0

0

0

0

0

0

**Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)**

0

0

0

0

0

0

**Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)**

0

0

0

0

0

0

0

0

0

**Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)**

0

0

0

0

0

0

0

0

0

**Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)**

0

0

0

**Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)**

0

0

0

0

0

0

0

0

0

**Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)**

0

0

0

0

0

0

0

0

0

**Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)**

0

0